

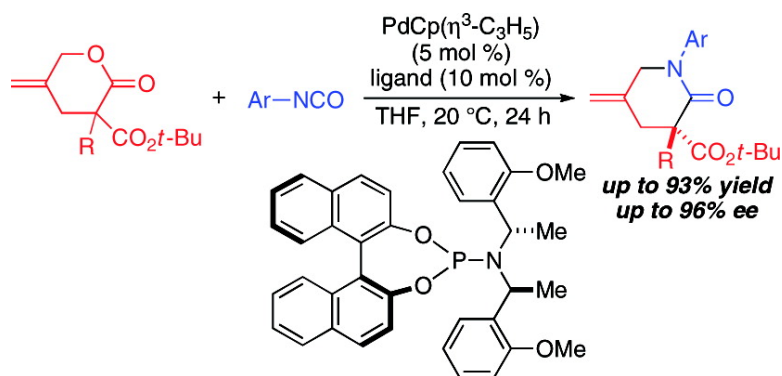
Communication

**Palladium-Catalyzed Asymmetric Decarboxylative Lactamization
 of #-Methylidene-#-valerolactones with Isocyanates:
 Conversion of Racemic Lactones to Enantioenriched Lactams**

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Palladium-Catalyzed Asymmetric Decarboxylative Lactamization of γ -Methylidene- δ -valerolactones with Isocyanates: Conversion of Racemic Lactones to Enantioenriched Lactams

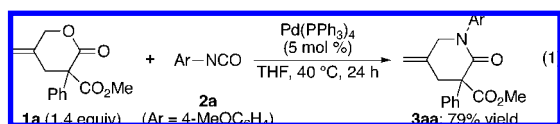
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2-Piperidones having a stereocenter at the 3-position constitute a useful class of compounds,¹ and asymmetric construction of these compounds is therefore an important objective. Although various methods are available to this end, most of them rely on the use of a stoichiometric amount of enantiopure chiral reagents.² In contrast, only a few catalytic enantioselective routes to these compounds are known to date, many of which are for the synthesis of 3-monosubstituted 2-piperidones.³ In fact, successful examples of the catalytic asymmetric construction of 3,3-disubstituted 2-piperidones are very limited.⁴ In this Communication, we describe the development of a palladium-catalyzed asymmetric decarboxylative reaction of γ -methylidene- δ -valerolactones⁵ with isocyanates,⁶ effectively converting racemic lactones to 3,3-disubstituted 2-piperidones with high enantioselectivity.

Initially, we conducted a reaction of γ -methylidene- δ -valerolactone **1a** (1.4 equiv) with 4-methoxyphenyl isocyanate (**2a**) in the presence of 5 mol % of Pd(PPh₃)₄ as a catalyst in THF at 40 °C (eq 1). The reaction proceeded smoothly to give the expected 3,3-disubstituted 2-piperidone **3aa** in 79% yield. Having established the catalytic formation of **3aa**, we turned our attention to asymmetric catalysis. Unfortunately, the reaction was shut down with (*S*)-MeO-mop,⁷ a chiral monophosphine ligand (Table 1, entry 1). By changing the ligand to (*S*)-binap,⁸ a chiral bisphosphine ligand, moderate yield of **3aa** was achieved with low enantioselectivity (49% yield, 29% ee; entry 2). In contrast, the use of chiral phosphoramidite ligand (*S,R,R*)-**4a**^{9,10} or its diastereomer (*S,S,S*)-**4a**⁹ gave the desired product in high yield (84–95% yield) with somewhat higher enantioselectivity (51% ee and 57% ee, respectively; entries 3 and 4). The change of methyl ester of lactone **1a** to *tert*-butyl ester (**1b**) improved the enantioselectivity to 68% ee with ligand (*S,R,R*)-**4a** (entry 5) and to 76% ee with ligand (*S,S,S*)-**4a** (entry 6). We subsequently identified that higher enantioselectivity for **3ba** can be achieved by employing Alexakis phosphoramidite (*S,S,S*)-**4b**¹¹ as the ligand (88% ee; entry 7).



In the presence of ligand (*S,S,S*)-**4b**, the amount of lactone **1b** can be reduced to 1.2 equiv and higher enantioselectivity can be realized by conducting the reaction at 20 °C, giving **3ba** in 88% yield with 93% ee (Table 2, entry 1). Several other aryl isocyanates, such as **2b–2d**, can also be used for the synthesis of lactams **3** with **1b** in high yield with similarly high stereoselectivity (83–93% ee; entries 2–4). Interestingly, the use of alkyl isocyanates, on the other hand, selectively leads to the formation of azaspiro[2.4]heptanones **5** (eq 2).^{5b,12} With respect to the substituent on lactones **1**, various aryl and heteroaryl groups can be tolerated to give the corresponding

Table 1. Palladium-Catalyzed Asymmetric Reaction of **1** with **2a**: Effect of Ligands and Ester Groups on **1**

entry	1	product	ligand	yield (%) ^a	ee (%) ^b
1	1a	3aa	(<i>S</i>)-MeO-mop	<2	—
2 ^c	1a	3aa	(<i>S</i>)-binap	49	29
3	1a	3aa	(<i>S,R,R</i>)- 4a	84	51
4	1a	3aa	(<i>S,S,S</i>)- 4a	95	57
5	1b	3ba	(<i>S,R,R</i>)- 4a	70	68
6	1b	3ba	(<i>S,S,S</i>)- 4a	88	76
7	1b	3ba	(<i>S,S,S</i>)- 4b	87	88

^a Determined by ¹H NMR against an internal standard (3,5-dimethylphenol). ^b Determined by chiral HPLC on a Chiralpak AD-H with hexane/2-propanol = 90/10. ^c 5 mol % of ligand was used.

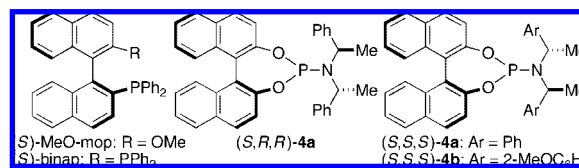


Table 2. Palladium-Catalyzed Asymmetric Reaction of **1** with **2**: Scope

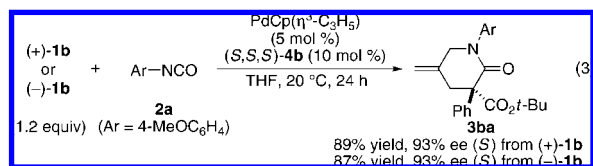
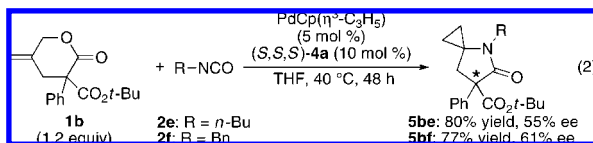
entry	1 (R)	2 (Ar)	product	yield (%) ^a	ee (%) ^b
1	1b (Ph)	2a (4-MeOC ₆ H ₄)	3ba	88	93
2	1b	2b (4-PhC ₆ H ₄)	3bb	80	91
3 ^c	1b	2c (4-ClC ₆ H ₄)	3bc	79	83
4	1b	2d (3,5-(MeO) ₂ C ₆ H ₃)	3bd	73	93
5	1c (4-MeOC ₆ H ₄)	2a	3ca	85	90
6	1d (4-MeC ₆ H ₄)	2a	3da	82	91
7	1e (3-MeC ₆ H ₄)	2a	3ea	79	87
8	1f (2-naphthyl)	2a	3fa	93	87
9 ^d	1g (3-thienyl)	2a	3ga	86	96
10 ^e	1h (3-furyl)	2a	3ha	75	94
11 ^{c,d,f}	1i (Bn)	2a	3ia	51	74

^a Isolated yield. ^b Determined by chiral HPLC with hexane/2-propanol. ^c The reaction was conducted at 40 °C. ^d Run using 2.0 equiv of **1**. ^e Run using 4.0 equiv of **1**. ^f Ligand (*S,S,S*)-**4a** was used.

products **3** with high enantioselectivity (87–96% ee; entries 5–10). In addition, alkyl-substituted lactone **1i** also undergoes the decar-

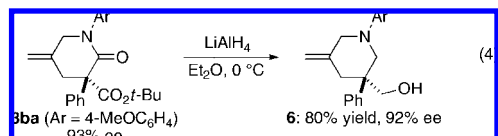
boxylative reaction, albeit with somewhat lower efficiency (51% yield, 74% ee; entry 11). The absolute configuration of compound **3bc** (entry 3) was determined to be (*S*) by X-ray crystallographic analysis after recrystallization from Et₂O.¹³

It is worth noting that, during the course of the reaction of **1b** with **2a**, the ee of remaining **1b** is less than 15% ee and the ee of product **3ba** stays constant (93% ee (*S*)). In addition, when enantiopure (+)-**1b** or (–)-**1b** is employed, high yield of **3ba** with 93% ee (*S*) was obtained in each case (eq 3). These results indicate that no effective kinetic resolution of (±)-**1** occurs during catalysis and the stereochemical outcome of **3** is solely controlled by the chirality of Pd/(*S,S,S*)-**4b** catalyst.



A reaction pathway of the present catalysis with aryl isocyanates can therefore be proposed as shown in Figure 1. Thus, both enantiomers of **1** undergo oxidative addition to palladium(0) almost nonselectively, and the successive decarboxylation^{14,15} destroys their original stereochemical information, giving identical 1,4-zwitterionic species **A**. Considering the observed high stereoselectivity, the structure of this intermediate is presumably highly organized, and structure **A'** with its *si* face effectively blocked by the phosphoramidite ligand seems plausible.¹⁶ Stereoselective carbon–carbon bond formation with **2** at the *re* face then gives intermediate **B**, ring-closure of which takes place through a nucleophilic attack of the nitrogen atom to the π-allylpalladium moiety,¹⁷ leading to enantio-enriched lactam **3** with regeneration of palladium(0).

We have also begun to explore further derivatizations of enantio-enriched lactams **3** obtained in these reactions. For example, compound **3ba** is smoothly converted to piperidine-based aminoalcohol **6** by reducing it with LiAlH₄ at 0 °C (eq 4).



In summary, we have developed a palladium-catalyzed asymmetric decarboxylative lactamization of racemic γ-methylidene-δ-

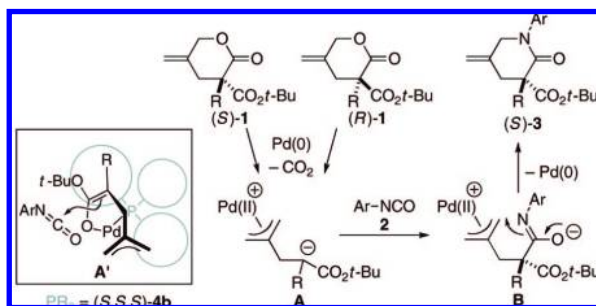


Figure 1. Proposed reaction pathway for the palladium-catalyzed asymmetric decarboxylative lactamization of (±)-**1** with **2**.

valerolactones with isocyanates to give enantioenriched 3,3-disubstituted 2-piperidones. By tuning the ester group on **1** and the substituents of phosphoramidite ligand, high enantioselectivity has been achieved for various substrate combinations.

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Supporting Information Available: Experimental procedures and compound characterization data and X-ray data (CIF). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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- (17) Formation of **5** occurs through the central carbon attack by nitrogen in intermediate **B** when alkyl isocyanates are used. The origin of this selectivity is not clear at this stage and will be investigated in the future.

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